



Regency Fincorp Limited

(Formerly Known as: -Regency Investments Limited)

Corp. & Regd. Office :Unit No.57-58, 4th Floor, Sushma Infinium,
Chandigarh-Ambala Highway, Zirakpur -140603

Contact No.: +91 77175 93645 Web.: www.regencyfincorp.com

E-mail : regencyinvestmentsltd@gmail.com

Date: 15th January, 2025

**To,
The Listing Department
BSE Limited,
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street Mumbai, Maharashtra - 400001**

SUB: SDD COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED ON 31st DECEMBER, 2024

REF: REGENCY FINCORP LIMITED (SCRIP CODE: 540175)

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, we hereby enclose the SDD Compliance Certificate for the quarter ended on 31st December, 2024. You are requested to take the same on your record.

Thanking you,

Yours Faithfully,

For Regency Fincorp Limited

**Prashant Khara
Company Secretary and Compliance Officer
M. No: A72928**

**Encl:
Compliance Certificate for the quarter ended on 31st December, 2024**



CIN: L67120PB1993PLC013169

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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31st DECEMBER, 2024

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Prashant Khara, Company Secretary and Compliance Officer of Regency Fincorp Limited am aware of the compliance requirement of Structured Digital Database (SDD) of Regency Fincorp Limited having L67120PB1993PLC013169, pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. the control exists as to who can access the SDD
3. the UPSI disseminated in the previous quarter have been captured in the Database.
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an Audit trail is maintained
6. the database has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture Ten (10) number of events during the quarter ended 31st December, 2024 and has captured Ten (10) number of the said required events in the SDD Software.

You are requested to kindly take the same in your records.

For Regency Fincorp Limited

Prashant Khara
Company Secretary and Compliance Officer
M. No.: A72928